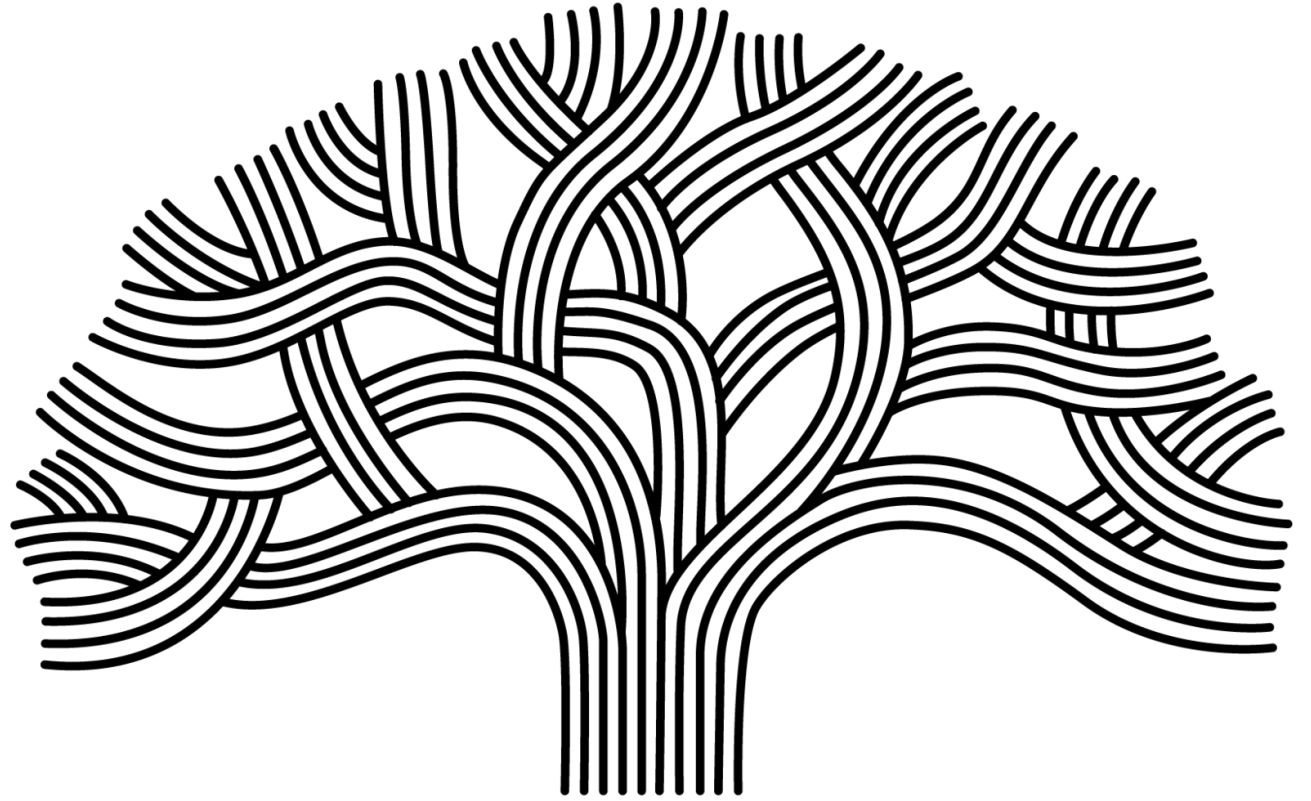


Office of the  
City Auditor

Presentation to  
Oakland Rotary

COURTNEY A. RUBY, CPA  
City Auditor

May 16, 2019



CITY OF OAKLAND

# A little about me...

- Rotarian since 2001
- Spent almost a year volunteering in Ghana 2003-2004



# A single mom of two amazing boys...Robert & Giovanni (& Emma)





# Oakland's City Auditor (again)

- City Auditor from 2007-2014
- City Auditor again in 2019



# How does the Office of the City Auditor provide value to Oakland residents?

- Ensure transparency and accountability
- Ensure public funds are spent in the public interest
- Provide independent and objective analysis
- Increase revenue and decrease costs



# How does the Office of the City Auditor provide value to Oakland residents?

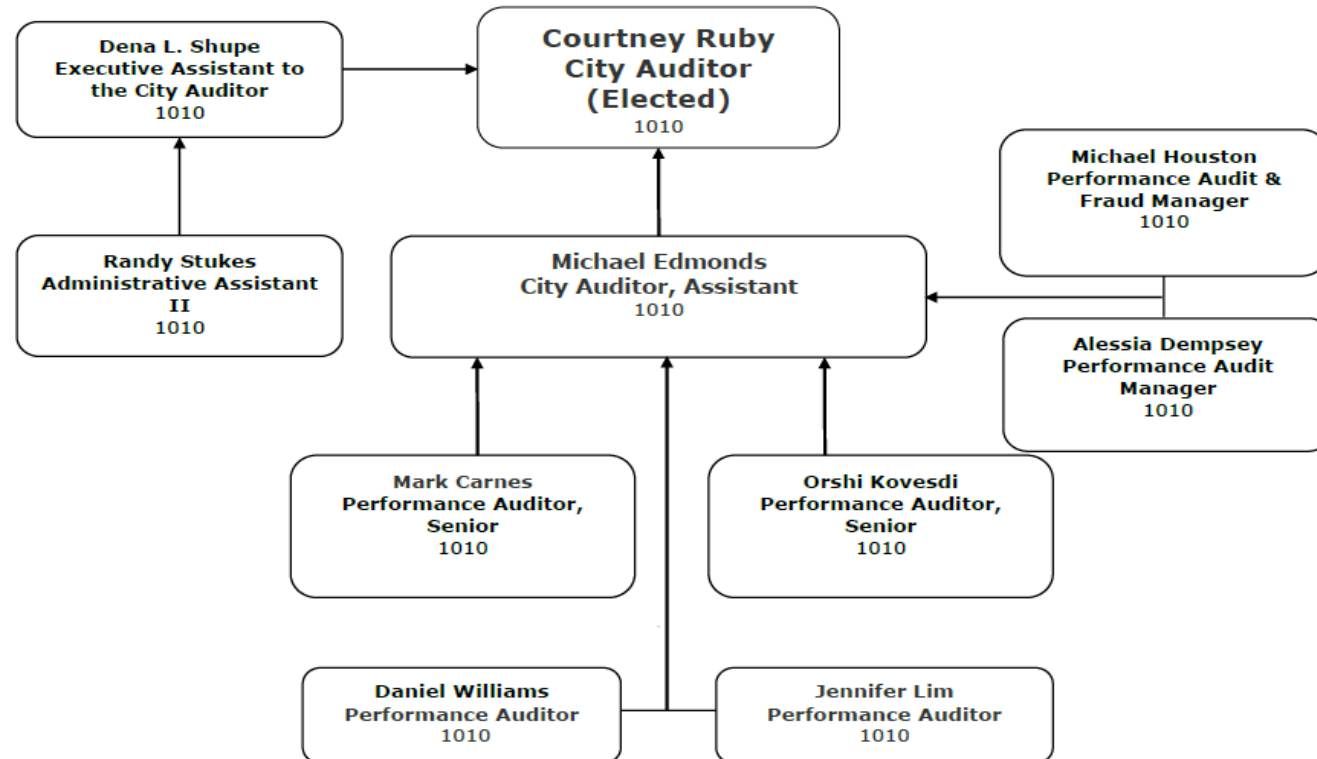
- Protect against fraud, waste, abuse, and mismanagement
- Verify compliance with policies, procedures, laws, and regulations
- Identify areas of greatest risk in government, and
- Serve as a resource on best practices and effective controls



# Office Overview and Structure

- Assumed Office January 7, 2019
  - Term: 4 years
- Authority to audit anything and everything in the City
- Staffing: 10 FTE's
  - 8 Auditors
  - 2 Administrative staff
- Office budget of \$2.3 million
- City budget of \$1.6 billion

**CITY AUDITOR - ORGANIZATIONAL CHART**





# My Team

## MIKE EDMONDS, Assistant City Auditor

*Former Deputy City Auditor for the award-winning San Jose Auditor's Office with over 28 years of performance and financial audit experience at the state and local level.*

## GREAT TEAM!

*New FWAPP Manager start 6/3*





# Mission Statement

To conduct performance audits that ***return in-depth and meaningful results to the residents of Oakland*** by utilizing a risk-based audit approach ***to identify and audit the areas of government most vulnerable to fraud, waste, abuse and mismanagement.***



# Personal Vision

- Highest standards of performance and professionalism
- Serve the public with the utmost integrity
- Dig Deeper – Greater Impact



# Key Goals

- Identify \$4 in savings or increased revenues for every \$1 of audit cost
- See 75% of audit recommendations implemented within one year



# How Do We Fulfill Our Mission & Goals?

- Performance Audits
- Mandated Audits
- Fraud, Waste and Abuse **Hotline**
- And more...





# Fraud Waste & Abuse Prevention Program

## NEW FWA Audit Manager

Michael Houston has over 10 years of local government auditing experience and most recently served as the Director of Compliance & Internal Control at Cal State University East Bay.

- ✓ Purpose of FWAPP is to deter, detect and defend
- ✓ 40% of fraud is initially detected through hotline tips
- ✓ 50% of tips come from employees



# What we are working on?

- Police Overtime
- Police Commission
- LPFA November 2018 Election
- Mandated Audits
- Follow-up on Mandated Audits



# What we are thinking about?

- Homelessness
- Affordability
- Security
- Fire Inspections
- Financial Indicators
- Measure KK
- And the list goes on....



# Some Headlines from my past audits...

**San Francisco Chronicle**

*“Oakland payroll audit a welcome challenge”*

“Auditor: Officials violated charter  
Oakland council members deny  
interfering with bids”

*“Oakland auditor: Fox  
Theater costs poorly  
Screened”*

**KQED Radio**

*“Oakland Auditor Questions Campaign Donations”*

ABC Local

*“Corruption scandal plagues Oakland City Hall ”*

**oakland**  
LOCAL

*““Bakery Loan for \$150,000 –  
what would you do?”*

**KCBS** 740 AM  
106.9 FM

*“Scathing Report on Oakland's Hiring  
Practices”*



A large, gnarled tree trunk in the foreground, with a city skyline and a lake in the background.

# Comments/Questions

Photo: Greg Linhares, City of Oakland



# Contact Us

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